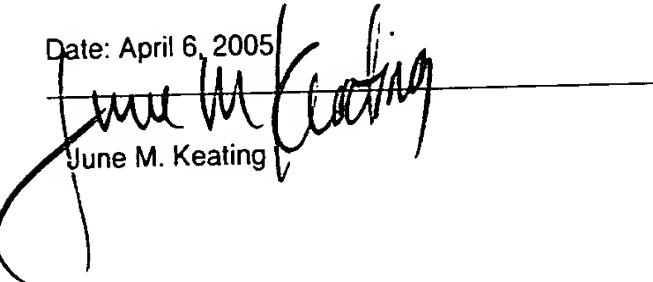


Certificate of Facsimile

I hereby certify that this correspondence is being transmitted to Group Art Unit 3729, 703-872-9318, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: April 6, 2005

  
June M. Keating

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PATENT  
36856.541

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michio KADOTA et al.	Art Unit: 3729
Serial No.: 09/940,988	Examiner: P. Kim
Filed: August 28, 2001	
Title: METHOD FOR ADJUSTING A FREQUENCY CHARACTERISTIC OF AN EDGE REFLECTION TYPE SURFACE ACOUSTIC WAVE DEVICE	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three (3) references cited in the enclosed Notification of Reasons for Rejection issued in a corresponding Japanese Patent Application. For the Examiner's convenience, Applicants have enclosed an English translation of the Notification of Reasons for Rejection and a completed Form PTO-1449. The relevance of the non-English language references is set forth in the English language Notification of Reasons for Rejection enclosed herewith. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

April 6, 2005

Page 2 of 2

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: April 6, 2005



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**10400 Eaton Place, Suite 312**  
**Fairfax, VA 22030**  
**(703) 385-5200**

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Sheet 1 of 1

Complete if Known	
Application Number	09/940,988
Filing Date	August 28, 2001
First Named Inventor	Michio KADOTA
Art Unit	3729
Examiner Name	P. Kim
Attorney Docket Number	36856.541

**U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

<sup>3</sup> Examiner, initial if requested, and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 331.2.4 For Japanese patent documents, the indication of the year of the

<sup>1</sup> Applicant unique citation designation number (PCT Rule 13(1)). <sup>2</sup> For Japanese patent documents, the indication of the year of the Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> Kind of document by the appropriate symbols as indicated on the cover page.

document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to

This collection of information is required by 37 CFR 1.97 and 1.98. The information is for the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R.